

PTO/SB/21 (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/932247	
	Filing Date	August 16, 2001	
	First Named Inventor	Edward Tang K. Ma	
	Art Unit	2131	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	20661-00787USPT

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter with attachments <input type="checkbox"/> Other Enclosure(s) (please identify below):		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	JENKENS & GILCHRIST, A PROFESSIONAL CORPORATION Steven R. Greenfield
Signature	
Date	April 20, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on the date shown below.

Dated: 4/21/04

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(Carol Marstaller)

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Dated: 4/21/04

Signature: Carol Marsteller

(Carol Marsteller)

Docket No.: 20661-00787USPT  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Edward Tang K. Ma

Application No.: 09/932247

Confirmation No.: 4808

Filed: August 16, 2001

Art Unit: 2131

For: ENCRYPTION-BASED SECURITY  
PROTECTION FOR PROCESSORS

Examiner: Not Yet Assigned

**STATUS INQUIRY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is respectfully requested that the attorney named below be advised of the status of the above-identified application. Please advise us of when we might expect to receive a Corrected Filing Receipt from the Patent and Trademark Office. A Request to Correct the Filing Receipt was sent to the Patent Office on March 21, 2003 and no response has been received. Enclosed is a copy of the original documents forwarded to the Patent Office on March 21, 2003.

Dated:

Respectfully submitted,

By Steven R. Greenfield

Steven R. Greenfield

Registration No.: 38,166

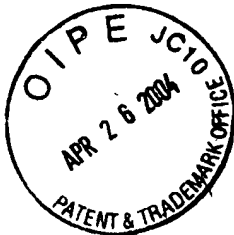
JENKENS & GILCHRIST, A PROFESSIONAL  
CORPORATION

1445 Ross Avenue, Suite 3200

Dallas, Texas 75202

(214) 855-4500

(214) 855-4300 (Fax)



**Jenkins & Gilchrist**  
A PROFESSIONAL CORPORATION

1445 ROSS AVENUE  
SUITE 3200  
DALLAS, TEXAS 75202

(214) 855-4500  
TELECOPIER (214) 855-4300

[www.jenkins.com](http://www.jenkins.com)

AUSTIN, TEXAS  
(512) 499-3800

HOUSTON, TEXAS  
(713) 951-3300

LOS ANGELES, CALIFORNIA  
(310) 820-8800

SAN ANTONIO, TEXAS  
(210) 246-5000

WASHINGTON, D.C.  
(202) 326-1500

AFFILIATE OFFICE  
CHICAGO, ILLINOIS  
(312) 425-3900

Stuart D. Dwork  
(214) 855-4727  
[sdwork@jenkins.com](mailto:sdwork@jenkins.com)

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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on

3-21-02

Signature

*Julie Tipton*  
Julie Tipton

Re: Applicant(s): Edward Tangkwai Ma et al.  
Serial No. 09/932,247  
Filing Date: 8/16/01  
For: CACHE BASED ENCRYPTION SYSTEM  
Docket No.: 20661-00787USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Request to Correct Filing Receipt;
2. Copy of Official Filing Receipt, with red-lined corrections;
3. Copy of Assignment Cover Form with Assignment to Dallas Semiconductor Corporation as filed on 12/19/2001; and
4. Postcard acknowledgment.

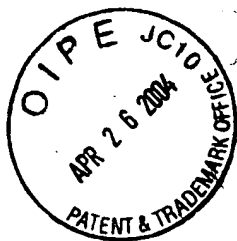
Please address all communications related to this to:

Roger L. Maxwell  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,

*Stuart D. Dwork*  
Stuart D. Dwork  
Registration No. 31.103



Patent Application  
Docket No.20661-00787USPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Edward Tangkwai Ma et al. )  
Serial No.: 09/932,247 ) Examiner:  
Filed: 8/16/01 ) Group Art Unit: 2131

For: CACHE BASED ENCRYPTION SYSTEM

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited postage paid with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231	
on	3-21-02
Signature	<i>Julie Tipton</i> Julie Tipton

**REQUEST TO CORRECT OFFICIAL FILING RECEIPT**

Attached is a copy of the official filing receipt received from the PTO in connection with the application identified above for which issuance of a corrected filing receipt is respectfully requested. The error(s) contained on the receipt are marked in red and summarized below. The error was incorrectly omitted.

Error In	Correct Data
1. <input type="checkbox"/> Applicant's Name	1. Change Ann Little to read Wendell L. Little. (Wendell L. Little is deceased. Ann Little is the Personal Representative of the Estate of Wendell L. Little.)
2. <input type="checkbox"/> Applicant's Address	2.
3. <input type="checkbox"/> Title	3.
4. <input type="checkbox"/> Filing Date	4.
5. <input type="checkbox"/> Application Number	5.
6. <input type="checkbox"/> Foreign/PCT Application Re:	6.

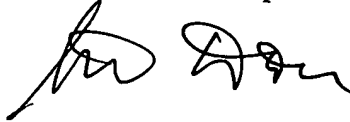
7. ☐ Other

7.

The correction(s) required is/are not due to any error by the applicant and no fee is due.

Respectfully submitted,

JENKENS & GILCHRIST,  
A Professional Corporation



Stuart D. Dwork  
Reg. No. 31.103

1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799  
(214) 855-4727  
(214) 855-4300 (fax)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/932,247	08/16/2001	2131	1638	20661-00787USPT	8	51	6

CONFIRMATION NO. 4808

## UPDATED FILING RECEIPT



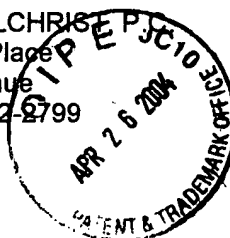
\*OC000000007590723\*

JENKENS & GILCHRIST  
3200 Fountain Place  
1445 Ross Avenue  
Dallas, TX 75202-2799

INTELLECTUAL PROPERTY

MAR 13 2002

JENKENS &amp; GILCHRIST



Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Edward Tang Kwai Ma, Plano, TX;  
Stephen N. Grider, Argyle, TX;  
Ann Little, Corinth, TX;

Wendell L. Little

\* DOCKETED  
Int: UH DT: 3-18-02

Action: Complete Date: 3/3  
Filing Receipt

## Assignment For Published Patent Application

Dallas Semiconductor;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/19/2001

Projected Publication Date: 02/20/2003

Non-Publication Request: No

Early Publication Request: No

## Title

Encryption-based security protection for processors